

TriMarC Wealth Management LLC
Firm Brochure – Form ADV Part 2A

This brochure provides information about the qualifications and business practices of TriMarC Wealth Management LLC. If you have any questions about the content of this brochure, please contact us at 518450-7091 or by email at dtrimarchi@trimarcwm.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about TriMarC Wealth Management LLC is also available on the SEC's website at www.advisorinfo.sec.gov. TriMarC Wealth Management LLC's CRD number is 163336.

TriMarC Wealth Management LLC
115 Circular Street
Saratoga Springs, NY 12866
518-450-7091
dtrimarchi@trimarcwm.com

Registration does not imply a certain level of skill or training

Version Date: 3/26/2020

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ITEM 3: Material Changes

Since the last annual amendment, the firm has the following material changes to report:

1. The firm has a new address at 115 Circular Street, Saratoga Springs, NY 12866 and phone number 518-450-7091.
2. The firm's principal is a licensed insurance agent and receives insurance commissions.
3. The firm is deemed to have custody of client funds as a result of Dominick J. Trimarchi being named as a Trustee of a clients estate effective April 23, 2019. As a result, TriMarC will be required to comply with the SEC custody rules requiring an annual surprise examination by an independent Certified Public Accounting firm.
4. TriMarC no longer will vote client proxies.

Item 4: Advisory Business

A. Description of the Advisory Firm

TriMarC Wealth Management LLC is a Limited Liability Company organized in the state of New York.

The firm has been in business since June 2009, and the principal owner is Dominick J. Trimarchi.

B. Types of Advisory Services

TriMarC Wealth Management LLC offers the following services to advisory clients:

Investment Supervisory Services

TriMarC offers ongoing portfolio management services based on the individuals goals, objectives, time horizon and risk tolerance of each client. TriMarC creates an Investment Policy Statement for each client, which outlines the client's current situation (income tax levels, and risk tolerance levels) and then constructs a plan (the Investment Policy Statement) to aid in the selection of a portfolio that matches each client's specific situation. Investment Supervisory Services include, but are not limited to, the following:

- Investment Strategy
- Asset Allocation
- Risk Tolerance
- Personal Investment policy
- Asset Selection
- Regular Portfolio Monitoring

TriMarc evaluates the current investments of each client with respect to their risk tolerance levels and time horizon. TriMarC will request discretionary authority from the client in order to select securities and execute transactions without permission from the client prior to each transaction. Risk tolerance levels are documented in the Investment Policy Statement.

Financial Planning

Financial plans and financial planning may include, but are not limited to, investment planning, life insurance; tax planning; retirement planning, college planning; estate planning. These services are based on fixed fees and the fee structure is documented in Exhibit II of the Financial Planning Agreement.

Services Limited to Specific Types of Investments

TriMarC generally limits its investment advice and/or money management to mutual funds, equities, bonds, fixed income, debt securities, ETF's, real estate, hedge funds, REIT's, insurance products including annuities, private placements, and government securities. TriMarC may use other securities as well to help diversify a portfolio when applicable.

C. Client Tailored Services and Client Imposed Restrictions

TriMarC offers the same suite of services to all of its clients. However, specific client financial plans and their implementation are dependent upon client Investment Policy Statement which outlines each client's current situation and is used to construct a specific plan to aid in the selection of a portfolio that matches restrictions, needs, and targets.

Clients may impose restrictions in investing in certain securities or types of securities in accordance with their values and beliefs. However, if the restrictions would prevent TriMarC from properly servicing the client account, or if the restrictions would require TriMarC to deviate from its standard suite of services, TriMarC reserves the right to end the relationship.

D. Wrap Fee Programs

A wrap fee program is an investment program where the investor pays one stated fee that includes management fees, transaction costs, fund expenses, and any other administrative fees. TriMarC DOES NOT participate in any wrap fee programs.

E. Amounts Under Management

TriMarC has the following assets under management. Assets include only accounts where TriMarC charges a fee:

Discretionary Amounts:	Non-Discretionary Amounts:	Date Calculated:
\$156,170,530	none	12/31/2019

5ITEM 5: Fees and Compensation

A. Fee Schedule

Investment Supervisory Service Fees

Total Assets Under Management

First \$5,000,000 - 1.00%

Above \$5,000,000 - .75%

These fees are negotiable depending upon the needs of the client the client and the complexity of the situation, and the final fee schedule is attached as Exhibit II of the Investment Advisory Contract. Fees are paid quarterly in arrears and clients may terminate their contracts with thirty days written notice. TriMarC has one legacy client whom pays fees in advance, however, no TriMarC no longer offers that alternative.

For clients who paid in advance, refunds are given on a prorated basis, based on the number of days remaining in a quarter at the point of termination. For clients whom paid their fees in arrears, a quarterly fee will be charged for the period commencing with the beginning of the quarter up to and including, the date of termination. The charge will be based upon a daily rate for the period. The account valuation will be based upon the closing value on the termination date.

Clients may terminate their contracts, without penalty, for a full refund within 5 business days of signing the advisory contract.

Advisory fees are withdrawn directly from the client's accounts with client written authorization. In cases where Advisor fee's are directly deducted, Advisor is required to a. obtain authorization. Advisor does not send invoices to clients. Rather, clients quarterly statements from the custodian serve as the fee notification.

Financial Planning Fees

Fixed Fees

In addition to Investment Supervisory Service Fees, clients may also be charged a Financial Planning fee. Fee's for Financial Planning are between \$10,000 and \$250,000, depending upon the complexity of the situation and the needs of the client. Financial Planning fees are negotiated on a per client basis. The final fee schedule will be attached as Exhibit II of the Financial Planning Agreement. Clients may terminate without penalty within five business days of signing the advisory contract.

B. Payment of Fees

Payment of Investment Supervisory Fees

Advisory fees are withdrawn directly from the client's account with client written authorization. Invoices are not sent, rather the client is notified by the charge on the quarterly statement provided by the custodian.

Payment of Financial Planning Fees

Fixed Financial Planning fees are paid via check or directly from client accounts. Fee's that are charged in advance will be refunded based on the prorated amount of work completed at time of termination.

C. Clients Are Responsible for Third Party Fees

Clients are responsible for the payment of all third-party fees (i.e. custodian fees, brokerage fees, mutual fund fees, transaction fees, etc.) Those fees are separate and distinct from the fees and expenses charged by TriMarC. Please see item 12 of the brochure regarding broker/custodian.

D. Prepayment of Fees

Any Fees collected in advance will be refunded based upon the amount of work completed at the point of termination. Fee's will be either deposited back into the clients account or TriMarC may pay the refund by check, as soon as practicable.

E. Outside Compensation For the Sale of Securities to Clients

Neither TriMarC nor its supervised persons accept any compensation for the sale of securities or other investment products, including asset based sales charges or service fees from the sale of mutual funds.

Item 6: Performance -Based Fees and Side by Side Management

TriMarC does not accept performance based fees or other fees based on a share of capital gains on or capital appreciation of the assets of a client.

Item 7: Types of Clients

TriMarC generally provides investment advice and/or management supervisory services to High Net Worth Individuals.

Minimum Account Size

The minimum account size is \$3,000,000 which may be waived by the investment advisor based on the needs of the client and the complexity of the situation.

ITEM 8: Methods of Analysis, Investment Strategies, and Risk of Investment Loss

A. Methods of Analysis and Investment Strategies

TriMarC's method of analysis include charting analysis, fundamental analysis, technical analysis, and cyclical analysis.

Charting analysis involves the use of patterns in performance charts. TriMarC may use this technique to search for pattern used to help predict favorable conditions for buying and/or selling a security.

Fundamental analysis involves the analysis of financial statements, general financial health of companies, and/or the analysis of management or competitive advantages.

Technical analysis involves the analysis of past market data: primarily price and volume.

Cyclical Analysis involves the analysis of business cycles to find favorable conditions for buying and or selling a security.

Investment Strategies

TriMarC uses long term trading, short term trading, short sales, margin transactions and options writing (including covered options, uncovered options, or spreading strategies).

Investing in securities involves a risk of loss that you, as a client, should be prepared to bear.

B. Material Risks Involved

Methods of Analysis

Charting analysis strategy involves using and comparing various charts to predict long and short term performance or market trends. The risk involved solely using this method is that only past performance data is considered without using other methods to crosscheck data. Using charting analysis without other methods of analysis would be making an assumption that past performance will be indicative of future performance. This may not be the case.

Fundamental analysis concentrates on factors that determine a company's value and expected future earnings. This strategy would normally encourage equity purchases in stocks that are undervalued or priced below their perceived value. The risk assumed is that the market will fail to reach expectations of perceived value.

Technical analysis attempts to predict a future stock price or direction based on market trends. The assumption is that the market follows discernible patterns and if these patterns can be identified then a prediction can be made. The risk is that markets do not always follow patterns and relying solely on this method may not work long term.

Cyclical analysis assumes that the markets react to cyclical patterns which, once identified, can be leveraged to provide performance. The risk with this strategy are two fold: 1) the markets do not always repeat cyclical patterns and 2) if too many investors begin to implement the strategy, it changes the very cycles they are trying to take advantage of.

Investment Strategies

Long term trading is designed to capture market rates of both return and risk. Frequent trading, when done, can affect investment performance, particularly through increased brokerage and other transaction costs and taxes. Short term trading, short sales, margin transactions, and options writing generally hold greater risks and clients should be aware that there is a material risk of loss using any of these strategies. Investing in securities involves risk of loss that you, as a client, should be prepared to bear.

C. Risks of Specific Securities Utilized

TriMarC generally seeks investment strategies that do not involve significant or unusual risk beyond that of general domestic and/or international equity markets. However, it will utilize short sales, margin transactions, and options writing. Short sales, margin transactions, and option writing generally hold greater risk of capital loss and clients should be aware that there is a material risk of loss using any of those strategies.

Mutual Funds: Investing in mutual funds carries the risk of capital loss. Mutual funds are not guaranteed or insured by the FDIC or any other government agency. You can lose money investing in mutual funds. All mutual funds have costs that lower investment returns. They can be of bond “fixed income” nature (lower risk) or stock “equity” nature (mentioned above).

Equity investment generally refers to buying shares of stocks by an individual or firms in return for receiving future payment of dividends and capital gains if the value of stock increases. There is an innate risk involved when purchasing a stock that it may decrease in value and the investment may incur a loss.

Treasury Inflation Protected/Inflation Linked Bonds: The risk of default on these bonds is dependent upon the U.S. Treasury defaulting (extremely unlikely); however, they carry a potential risk of losing share price value; albeit minimal.

Fixed Income is an investment that guarantees fixed periodic payments in the future that may involve economic risk such as inflationary risk, interest rate risk, default risk, repayment of principal risk, etc.

Debt Securities carry risk such as the possibility of default on the principal, fluctuation in interest rates, counterparties being unable to meet obligations.

Stock & Exchange Traded Funds (ETF's): Investing in stocks and ETF's carries the risk of capital loss (sometimes up to a 100% loss in the case of a stock holding company bankruptcy). Investment in these securities are not guaranteed or insured by the FDIC or any other government agency.

Real Estate funds face several kinds of risks that are inherent in the sector of the market. Liquidity risk, market risk and interest rate risk are just some of the factors that can influence the gain or loss that is passed on to the investor.

Hedge Funds are not suitable for all investors and involve a high degree of risk due to several factors that may contribute to above average gains or significant losses.

Such factors include leveraging or other speculative investment practices, commodity trading, Complex tax structures, a lack of transparency in the underlying investments, and generally the absence of a secondary market.

REITs have specific risks including valuation due to cash flows, dividends paid in stock rather than cash, and a payment of debt resulting in dilution of shares.

Private placements carry substantial risk as they are largely unregulated offering not subject to securities laws.

Precious Metal ETFs (Gold, Silver, and Palladium Bullion backed by “electronic shares” (not physical metal): Investing in precious metal ETFs carries the risk of capital loss.

Long term trading is designed to capture markets rates of both return and risk. Due to its nature, the long term investment strategy can expose clients to various other types of risk that will typically surface at various intervals during the time the client owns the investments. These risks include but are not limited to inflation (purchasing power) risk, interest rate risk, economic risk, market risk, and political/regulatory risk.

Short term trading risks include liquidity, economic stability and inflation.

Short sales risk includes the upward trend of the market and the infinite possibility of loss.

Margin transactions use leverage that is borrowed from a brokerage firm as collateral.

Options writing involve a contract to purchase a security at a given price, not necessarily at market value, depending on the market.

Past Performance is not a guarantee of future returns. Investing in securities involves a risk of loss that you, as a client, should be prepared to bear.

Item 9: Disciplinary Information

A. Criminal or Civil Actions

There are no criminal or civil actions to report.

B. Administrative Proceedings

There are no administrative proceeding to report.

C. Self Regulatory Organization (SRO) Proceedings

Item 10: Other Financial Industry Activities and Affiliations**A. Registration as a Broker/Dealer or Broker Dealer Representative.**

Neither TriMarC nor its representatives are register as or have pending applications to become a broker/dealer or as a representative of a broker/dealer.

B. Registration as a Futures Commission Merchant, Commodity Pool Operator, or as a Commodity Trading Advisor

Neither TriMarC nor its representatives are registerd or have pending applications to become a Futures Commission Merchant, Commodity Pool Operator, or a Commodity Trading Advisor.

C. Registration Relationships Material to this Advisory Business and Possible Conflicts of Interests.

Dominick J. Trimarchi is a licensed insurance agent and as a result receives commissions for insurance products and annuities that are placed with clients. This creates a conflict of interest. Conflicts are minimized by utilizing a third party broker whom has access to multiple insurance companies and generally provide multiple alternative price quotes for products.

D. Selection of Other Advisors or Managers and How this Advisor is Compensated for Those Selections.

TriMarC does not utilize nor select other advisers or third party managers. All assets are managed by TriMarC Wealth Management.

Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading**A. Code of Ethics**

We have a written Code of Ethics that covers the following areas: Prohibited Purchases and Sales, Insider Trading, Personal Securities Transactions, Exempted Transactions, Prohibited Activities, Conflicts of Interest, Gifts and Entertainment, Confidentiality, Service on Board of Directors, Compliance Procedures, Compliance with Laws and Regulations, Procedures and Reporting, Certification of Compliance, Reporting Violations, Compliance Officer Duties, Training and Education, Recordkeeping, Annual Review and Sanctions. Our Code of Ethics is available free upon request to any client or prospective client.

B. Recommendations Involving Material Financial Interests.

TriMarC does not recommend that clients buy or sell any security in which a related person to TriMarC or TriMarC has a material financial interest.

C. Investing Personal Money in the Same Securities as Clients

From time to time, representatives of TriMarC may buy or sell securities for themselves that they recommend to clients. This may provide an opportunity for representatives of TriMarC to buy or sell the same securities before or after recommending the same securities to clients resulting in representatives profiting off the recommendations they provide to clients. TriMarC will always document any transactions that could be construed as conflicts of interests and will always transact client business before their own when similar securities are being bought or sold.

A. Trading Securities At/Around the Same Time as Clients' Securities

From time to time, representatives of TriMarC may buy or sell securities for themselves that they recommend to clients. This may provide an opportunity for representatives of TriMarC to buy or sell the same securities before or after recommending the same securities to clients resulting in representatives profiting off the recommendations they provide to clients. Such transactions may create a conflict of interest. TriMarC will always transact client's transaction before its own when similar securities are being bought or sold.

Item 12: Brokerage Practices

B. Factors Used to Select Custodians and/or Broker/Dealers

The Custodian will be chosen based on their relatively low transaction fees and access to mutual funds and ETF's. TriMarC will never charge a premium or commission on transactions beyond the actual cost imposed by the Custodian.

1. Research and Other Soft Dollar Arrangements.

TriMarC does not receive any soft dollar benefits other than execution from custodians and or broker/dealers. TriMarC may receive research, dedicated custodian website for client records, trading platforms. There is no minimum client number or dollar number that TriMarC must meet in order to receive the above services. There is no incentive for TriMarC to direct clients to a particular broker/dealer over another broker/dealer who offer the same services.

The first consideration when recommending broker/dealer to clients is best execution. TriMarC always acts in the best interest of the client.

2. Brokerage for Client Referrals

TriMarC receives no referrals from broker dealer or third party in exchange for using that broker dealer or third party.

3. Clients Directing Which Broker/Dealer/Custodian to Use

TriMarC allows clients to direct brokerage. TriMarC may be unable to achieve most favorable execution of client transactions if clients choose to direct brokerage. This may cost client money because without the ability to direct brokerage TriMarC may not be able to aggregate orders to reduce transaction costs resulting in higher brokerage commissions and less favorable prices. Not all investment advisors allow clients to direct brokerage.

C. Aggregating (Block) Trading for Multiple Client Accounts

TriMarC maintains the ability to block trade purchases across accounts. Block trading may benefit a large group of clients by providing TriMarC the ability to purchase larger blocks resulting in smaller transaction costs to the client. Declining to block trade can cause more expensive trades for clients.

Item 13: Review of Accounts

A. Frequency and Nature of Periodic Review and Who Makes Those Reviews

Client accounts are reviewed at least monthly by Dominick J Trimarchi, Managing Member. Dominick J. Trimarchi is the chief advisor and is instructed to review clients accounts with regard to their investment policy and risk tolerance levels. All accounts at TriMarC are assigned to this reviewer.

All financial planning accounts are reviewed upon inception and quarterly thereafter by Dominick J. Trimarchi, Managing Member. There is only one level of review and that is the total review.

B. Factors That Will Trigger a Non-Periodic Review of Client Accounts

Reviews may be triggered by material market, economic or political events, or by changes in client's financial situations (such as retirement, termination of employment, physical move, or inheritance)

C. Content and Frequency of Regular Reports Provided to Clients

Each client will receive at least quarterly from the custodian, a written report that details the client's account including assets held and asset value which will come from the custodian.

Clients are provided a one-time financial consultation concerning their financial situation. After such review, clients are provided at least annually a financial report on the client's portfolio. Such may include asset allocation and performance reporting.

Item 14: Client Referrals and Other Compensation

- A. TriMarC does not receive any economic benefit, directly or indirectly from any third party for advice rendered to TriMarC clients.
- B. TriMarC does not directly or indirectly compensate any person who is not advisory personnel for client referrals.

Item 15: Custody

TriMarC, with written client authority, has limited custody of client's assets through direct fee deduction of TriMarC's Fees. In addition, effective April 23, 2019, TriMarC was appointed a Trustee of a client's various trusts. As a result, TriMarC will need to comply with the SEC's Custody Rule regarding custody of trust assets. Annually the firm is subject to a Surprise Examination by an independent accountant.

Clients receive at least quarterly statements from the broker dealer or other qualified custodian that holds and maintains client's investment assets. Clients are encouraged to carefully review such statements or other reports that TriMarC Wealth Management provides.

Item 16: Investment Discretion

For those client accounts where TriMarC provides ongoing supervision, the client has given TriMarC written discretionary authority over the client's accounts with respect to securities to be bought and sold and the amount of securities to be bought or sold. Details of this relationship are fully disclosed to the client before any advisory relationship has commenced. The client provides TriMarC discretionary authority via a limited power of attorney in the Investment Advisory Contract and in the contract between the client and the custodian.

Item 17: Voting Client Securities (Proxy Voting)

TriMarC does not accept voting authority for client securities. Clients will receive proxies directly from the issuer of the security or the custodian. Clients should direct all proxy questions to the issuer of the security.

Item 18: Financial Information**A. Balance Sheet**

TriMarC does not require or solicit prepayment of more than \$1,200 of fees per client, six months or more in advance and therefore does not need to include a balance sheet with this brochure.

B. Financial Conditions Reasonably Likely to Impair Ability to Meet Contractual Commitments to Clients

Neither TriMarC nor its management have any financial conditions that are likely to reasonably impair our ability to meet contractual commitments to clients.

C. Bankruptcy Petitions in Previous 10 Years

TriMarC has not been subject of a bankruptcy petition in the last 10 years.



This brochure supplement provides information about Dominick J. Trimarchi that supplements the TriMarC Wealth Management LLC brochure. You should have received a copy of that brochure. Please contact Dominick J. Trimarchi, Managing Member if you did not receive TriMarC Wealth Management LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Dominick J. Trimarchi is also available on the SEC's website at www.advisorinfo.sec.gov

TriMarC Wealth Management LLC
Form ADV Part 2B- Individual Disclosure Brochure

For

Dominick J. Trimarchi
Personal CRD Number 1500576
Investment Advisor Representative

TriMarC Wealth Management LLC
115 Circular Street
Saratoga Springs, NY 12866
518-450-7091
dtrimarchi@trimarcwm.com

Updated 6/30/2019

Item 2: Educational Background and Business Experience

Name: Dominick J. Trimarchi Born: 1960
Education: BS Accounting, State University of New York- 1982

Business Background:

03/2012 - Present Managing Member

	TriMarC Wealth Management LLC
09/2010 – 3/2012	Managing Director Leerink Swann LLC
01/2008 – 08/2010	Managing Member TriMarC Wealth Management LLC
12/2006 – 12/2008	Consultant The Ayco Company, a Goldman Sachs Company
09/1995 – 12/2006	Senior Vice President The Ayco Company, a Goldman Sachs Company

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to the client's or prospective client's evaluation of this advisory business.

Item 4:

Dominick J. Trimarchi is also engaged in the sale of Life Insurance and Annuities

Item 5: Additional Compensation

In addition to salary and bonus, Dominick J. Trimarchi may receive commissions from Sale of Life insurance or annuity products.

Item 6: Supervision

As the only owner and representative of TriMarC Wealth Management LLC, Dominick J. supervises all duties and activities of the firm. Dominick J Trimarchi adheres to all required regulation regarding the activities of an Investment Advisor Representative and follows all policies and procedures outlined in the firms policies and procedures manual, including the Code of Ethics and appropriate securities regulations.